

Jones & Bishop LLC - sample firm

ZZZ01 - Mark Jones

January 2099 payout statement

WCAP models and/or advisor managed

<u>date</u>	<u>transaction description</u>	<u>total assets - non-billed assets = billed assets</u>			<u>amount received</u>		<u>WC retained amount</u>		<u>gross payout</u>	<u>allocated payout</u>
****-99300 - Brenda Sullivan - IRA Rollover (LPL)										
01/01/2099	WCAP models - passive quarterly	125,000.00	0.00	125,000.00	359.38	1.15%	68.75	0.22%	290.63	290.63
					359.38		68.75		290.63	290.63
****-99301 - Leonard Moss - Roth IRA (LPL)										
01/01/2099	WCAP models - passive quarterly	275,000.00	0.00	275,000.00	756.25	1.10%	137.50	0.20%	618.75	618.75
					756.25		137.50		618.75	618.75
	WCAP models and/or advisor managed total:				1,115.63		206.25		909.38	909.38

Jones & Bishop LLC - sample firm

ZZZ01 - Mark Jones

January 2099 payout statement

Ticket charges, program, and other fees

<u>date</u>	<u>transaction description</u>	<u>total assets - non-billed assets = billed assets</u>			<u>amount received</u>	<u>WC retained amount</u>	<u>gross payout</u>	<u>allocated payout</u>
****-99300 - Brenda Sullivan - IRA Rollover (LPL)								
12/20/2098	ticket charge	0.00	0.00	0.00	(9.00)	0.00	(9.00)	(9.00)
					(9.00)	0.00	(9.00)	(9.00)
****-99301 - Leonard Moss - Roth IRA (LPL)								
12/20/2098	ticket charge	0.00	0.00	0.00	(9.00)	0.00	(9.00)	(9.00)
					(9.00)	0.00	(9.00)	(9.00)
	Ticket charges, program, and other fees total:				(18.00)	0.00	(18.00)	(18.00)
					1,097.63	206.25	891.38	891.38
	ZZZ01 - Mark Jones total:				1,097.63	206.25	891.38	891.38

Jones & Bishop LLC - sample firm

ZZZ02 - Steve Bishop

January 2099 payout statement

WCAP models and/or advisor managed

date	transaction description	total assets - non-billed assets = billed assets			amount received		WC retained amount		gross payout	allocated payout
****-99302 - Danny & Karen McGee - JTEN (LPL)										
01/01/2099	WCAP models - passive quarterly	315,000.00	0.00	315,000.00	787.50	1.00%	157.50	0.20%	630.00	630.00
					787.50		157.50		630.00	630.00
****-99303 - Elisabeth Sutman - IRA Rollover (LPL)										
01/01/2099	WCAP models - passive quarterly	82,500.00	0.00	82,500.00	257.81	1.25%	51.56	0.25%	206.25	206.25
					257.81		51.56		206.25	206.25
WCAP models and/or advisor managed total:					1,045.31		209.06		836.25	836.25

Jones & Bishop LLC - sample firm

ZZZ02 - Steve Bishop

January 2099 payout statement

Ticket charges, program, and other fees

<u>date</u>	<u>transaction description</u>	<u>total assets - non-billed assets = billed assets</u>			<u>amount received</u>	<u>WC retained amount</u>	<u>gross payout</u>	<u>allocated payout</u>
****-99302 - Danny & Karen McGee - JTEN (LPL)								
12/20/2098	ticket charge	0.00	0.00	0.00	(9.00)	0.00	(9.00)	(9.00)
					(9.00)	0.00	(9.00)	(9.00)
****-99303 - Elisabeth Sutman - IRA Rollover (LPL)								
12/20/2098	ticket charge	0.00	0.00	0.00	(9.00)	0.00	(9.00)	(9.00)
					(9.00)	0.00	(9.00)	(9.00)
	Ticket charges, program, and other fees total:				(18.00)	0.00	(18.00)	(18.00)
	ZZZ02 - Steve Bishop total:				1,027.31	209.06	818.25	818.25

Jones & Bishop LLC - sample firm

ZZZ03 - Jones_Bishop

January 2099 payout statement

WCAP models and/or advisor managed

<u>date</u>	<u>transaction description</u>	<u>total assets - non-billed assets = billed assets</u>			<u>amount received</u>		<u>WC retained amount</u>		<u>gross payout</u>	<u>allocated payout</u>
****-99304 - Richard Foster - Individual (LPL)										
01/01/2099	WCAP models - passive quarterly	1,215,000.00	0.00	1,215,000.00	2,885.63	0.95%	486.00	0.16%	2,399.63	2,399.63
					2,885.63		486.00		2,399.63	2,399.63
****-99305 - Lester & Susan Reese - JTWROS (LPL)										
01/01/2099	WCAP models - passive quarterly	575,000.00	0.00	575,000.00	1,437.50	1.00%	258.75	0.18%	1,178.75	1,178.75
					1,437.50		258.75		1,178.75	1,178.75
	WCAP models and/or advisor managed total:				4,323.13		744.75		3,578.38	3,578.38

Jones & Bishop LLC - sample firm

ZZZ03 - Jones_Bishop

January 2099 payout statement

Ticket charges, program, and other fees

<u>date</u>	<u>transaction description</u>	<u>total assets - non-billed assets = billed assets</u>			<u>amount received</u>	<u>WC retained amount</u>	<u>gross payout</u>	<u>allocated payout</u>
****-99304 - Richard Foster - Individual (LPL)								
12/20/2098	ticket charge	0.00	0.00	0.00	(9.00)	0.00	(9.00)	(9.00)
					(9.00)	0.00	(9.00)	(9.00)
****-99305 - Lester & Susan Reese - JTWROS (LPL)								
12/20/2098	ticket charge	0.00	0.00	0.00	(9.00)	0.00	(9.00)	(9.00)
					(9.00)	0.00	(9.00)	(9.00)
					Ticket charges, program, and other fees total:	0.00	(18.00)	(18.00)
					(18.00)	0.00	(18.00)	(18.00)
					ZZZ03 - Jones_Bishop total:	744.75	3,560.38	3,560.38
					4,305.13	744.75	3,560.38	3,560.38

Jones & Bishop LLC - sample firm Summary

January 2099 payout statement

			<u>amount received</u>	<u>WC retained amount</u>	<u>gross payout</u>	<u>allocated payout</u>
ZZZ01 - Mark Jones	payout_illustrations	WCAP models and/or advisor managed	1,115.63	206.25	909.38	909.38
		Ticket charges, program, and other fees	(18.00)	0.00	(18.00)	(18.00)
			1,097.63	206.25	891.38	891.38
ZZZ02 - Steve Bishop	payout_illustrations	WCAP models and/or advisor managed	1,045.31	209.06	836.25	836.25
		Ticket charges, program, and other fees	(18.00)	0.00	(18.00)	(18.00)
			1,027.31	209.06	818.25	818.25
ZZZ03 - Jones_Bishop	payout_illustrations	WCAP models and/or advisor managed	4,323.13	744.75	3,578.38	3,578.38
		Ticket charges, program, and other fees	(18.00)	0.00	(18.00)	(18.00)
			4,305.13	744.75	3,560.38	3,560.38
January 2099 payout to be issued on February 15, 2099:			<u>6,430.07</u>	<u>1,160.06</u>	<u>5,270.01</u>	<u>5,270.01</u>

Important information:

Fee percentages are displayed as derived percentages; the effects of rounding may cause the displayed percentage to be higher or lower than the actual rate used, especially if the amounts are small.

WC retained percentages are displayed as basis points on assets for WCAP or advisor managed, they are displayed as percentage of revenue received for third party managed accounts.

Jones & Bishop LLC - sample firm Summary

The following list of accounts are missing required documentation. Please submit this documentation as soon as possible in order to continue to receive payout on these accounts. These accounts, if any, have been highlighted in orange elsewhere in this report.